



EUROPEAN ORTHODONTIC SOCIETY RESEARCH GRANTS

TERMS AND CONDITIONS OF GRANT AWARDS

The European Orthodontic Society (EOS) awards grants for research into all aspects of orthodontics.

DEFINITIONS AND ABBREVIATIONS:

- **Agreement:** The agreement defined in the present document unless represented as “Grant Agreement”, defined elsewhere in the section entitled Definitions and Abbreviations
- **EOS:** The European Orthodontic Society
- **Grant:** The financial award of funds for research.
- **Grant Agreement:** The agreement defined by the document entitled ‘Terms and Condition of Grant Awards’.
- **Grant recipient:** The recipient of the EOS award. The individual whose name appears as the main applicant on the EOS Award application.
- **Recipient Institution:** The college, university, organization or institution which administers the Grant.
- **Official Written Correspondence:** Any written or electronically delivered communications from an identifiable individual representing either the grant recipient, recipient institution, EOS, or their agents.
- **Applicant:** The individual designated as the lead researcher whose name appears as Applicant for the EOS Award on the Grant Application. This person shall also serve as the primary contact for all communications regarding the EOS award.
- **Research Misconduct:** An act of falsification, fabrication, or plagiarism of data or results or the omission of material data or results.

TERMS OF FUNDING

1. Funding Restrictions

The EOS will fund grants for research at universities/non-profit making institutions only.

The grant will be awarded to the lead researcher/applicant but all funds will be administered through the grant recipient's Institution and not the individual applicant themselves. All grants must be used exclusively for research and research equipment not for overhead, capital expenditures, administrative costs or other peripheral costs, except as set forth below. The EOS, at its discretion, may make an exception for costs associated with attendance at courses related to the research.

The EOS grant may pay for all, or a portion, of the salary of laboratory assistants/technicians and support staff provided the salary request is justified in the application.

2. Discontinuation of Grant Funding

Either party may terminate the grant agreement in writing. The EOS reserves the right to terminate the grant at any time prior to the end of the grant term in the event that the work undertaken is in breach of any of the terms stated herein.

In the event of this, no further grant payment will be made and the grant recipient's Institution shall be required to return any grant funds that have been advanced. EOS is entitled to full repayment of all costs incurred as of the effective date of the termination. Discontinuation of grant funding due to research misconduct is discussed in the next section.

3. Research Misconduct

The grant recipient's Institution shall have in place adequate, and clearly stated, systems in order to ensure the quality and integrity of research and prevent research misconduct.

In the event that the grant recipient or any member of the research team is found guilty of research misconduct, the EOS holds the right to terminate any current grants.

Termination of the grant agreement due to research misconduct shall require the return of all grant funds advanced to the Institution in the name of the applicant. The applicant and the Institution shall be required to reimburse the EOS for all reasonable costs incurred including, but not limited to, reasonable legal costs.

4. Overlapping Grant Awards

The EOS awards grants with the express understanding that the EOS is the exclusive financial supporter of the research project for which grant monies were requested. The EOS does not allow EOS Grant funds to be used for research projects funded in part by another source without written permission. Failure to report the receipt of overlapping grant funds shall require the return of all monies awarded by the EOS.

5. Use of Human Subjects or Animals for Research

If the proposed research will involve humans or animal subjects, ethical approval must be gained from the appropriate ethics committee **before** applying for the grant and confirmation of this approval must be provided with the application

6. Indemnity

The applicant and the Institution agree to indemnify the EOS, its officers, directors, and employees, from any loss, liability, damage, cost or expenses (including reasonable legal costs) arising from, or connected in any way with claims made against it due to activities involving the applicant or Institution's use, planned use, and/or proposed use, at any time, of human subjects, whether alive or dead, including but not limited to clinical trials, basic scientific experimentation or personal injury, bodily injury, and property damage and diagnostic trials, as well as for the breach of any of the representations, warranties, covenants and other agreements made pursuant to this Grant Agreement, except as prohibited by applicable state law.

7. Public Education

Acceptance of this grant shall be deemed consent of the applicant and the Institution to the publication of information, including the title of the project, the name and institutional affiliation of the applicant, the amount of the award and the non-technical project summary. Therefore, the non-technical description should not contain confidential information.

8. Confidentiality

Any information provided by the applicant and their Institution during the grant application process shall be considered the property of the EOS and may be used by the EOS for purposes of public education or marketing without further consultation with the applicant or Institution. Should the EOS make substantive changes to these materials, the applicant shall have the right to request permission to review and edit any new version of the document.

The applicant and Institution expressly releases the EOS and their agents, officers, directors, and employees from any claims which the applicant or the Institution may have for invasion of privacy, right of publicity, defamation, copyright infringement, or any other action arising out of the use, adaptation, reproduction, distribution, broadcast, or exhibition of any information provided during the grant application process that is explicitly marked non-confidential.

9. Publications

The applicant must submit at least one paper related to the funded research project to the European Journal of Orthodontics. All publications resulting from research sponsored by EOS Grants shall give the credit in the Acknowledgements.

10. Renewals

All requests for renewal of EOS support should be made by submission of a new application.

ADMINISTRATIVE REQUIREMENTS

11. Budget Revisions and Extensions of Grant Period

The transfer of more than £5,000 from one budget category to another requires written approval from the EOS. Requests for such transfers must be made through Official Written Correspondence.

In the event that there is more than 15% of the total grant award remaining in the Grant account at the end of the Grant period, the Grant Recipient's Institution or grant recipient may request permission to extend the grant period for a period not exceeding one year with no increase in funding. Requests for such "No Cost Extensions" must be made through Official Written Correspondence.

12. Transfer of Grants

In the event the Applicant transfers to another university or research facility and wishes to transfer the Grant and equipment purchased with funds from the Grant, he/she must submit a formal request through Official Written Correspondence to the EOS Research Grants committee for approval to pursue such a change. The new Grant Recipient's Institution shall be required to comply with the requirement set forth in this Grant Agreement and become a party to the amended Grant Agreement. The Applicant shall not use Grant funds for any costs related to such transfer.

13. Financial Reports

The EOS requires a certified financial report for each year of the Grant. The final report shall be submitted within two months of the end of the grant period. The reports must be signed by the Applicant and a representative of the Grant Recipient's Institution and must show that Grant funds were used in accordance with the approved budget.

Failure to provide such reports at the appropriate times will result in delays of scheduled award payments or, at the sole discretion of the EOS, termination of the Grant. The EOS shall have the right to decline to review future funding proposals made by any Applicant who fails to submit the Final Financial Report required of a prior EOS Grant until such reports are submitted to the EOS.

14. Scientific Progress Reports

For all Grants, a Final Scientific Progress Report must be submitted within two months of the end of the Grant period. This report must be signed by the Applicant.

If the Grant is for a period exceeding one year, the Applicant must submit an Interim Scientific Progress Report at the end of the first year of the grant. This report should detail the progress made to date and be signed by the Applicant.

Failure to provide such reports at the appropriate time will result in delays to scheduled Grant payments or, at the sole discretion of the EOS, termination of the Grant.

Reprints of subsequent publications acknowledging support of EOS should be submitted, as they become available.

The EOS holds the right to decline to review future funding proposals made by any Applicant who fails to submit the Final Scientific Progress Report required of a prior EOS Grant award until such reports are submitted to the EOS.

The Applicant will be contacted after the expiration of the award. At this time the Applicant will be asked to respond to a brief inquiry regarding any publications or other reportable outcomes that may have arisen from EOS support.

15. Document Retention

The Grant Recipient shall retain copies of all Official Written Correspondence related to the EOS Grant for a period of 3 years following expiration of the award.

The EOS, in some circumstances, accepts electronic or other facsimile copies of written letters. However, it does so only with the understanding that the original document remains in the possession of the sender of the correspondence and that the original document will be made available upon request by the EOS.

Research data and notebooks shall be maintained by the Applicant or Grant Recipient's Institution in perpetuity.

IN WITNESS WHEREOF, the parties have executed this Agreement as of the Effective Date.

EUROPEAN ORTHODONTIC SOCIETY

By: _____ Date:

Name:

Title:

Applicant

Signature _____ Date:

Name:

Title:

Grant Recipient's Institutional Official

Signature _____ Date:

Name:

Title: